USSN 10/737,309³ FILED: December 16, 2003

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

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| Onder the Pap | erwork Reduction Act of 1995. No beisc | Application Number | 10/737,309 | |
| TR | ANSMITTAL | Filing Date | December 16, 2003 | |
| TR. | FORM | First Named Inventor | Makoto Kawai | |
| ing) | 1 Oran | Art Unit | 1625 | |
|), <u> </u> | | Examiner Name | Zinna Northington Davis | |
| Sto be used for a | all correspondence after initial filing) | Attorney Docket Number | | |
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| | ENG | CLOSURES (Check all | that apply) | |
| Fee Trans | mittal Form | Drawing(s) | After Allowance Communication to TC | |
| ☐ Fe | e Attached | Licensing-related Papers | Appeal Communication to Board of Appeals and Interferences | |
| Amendme | ent/Reply | Petition | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | |
| | ter Final | Petition to Convert to a Provisional Application | Proprietary Information | |
| | | Power of Attorney, Revocation Change of Correspondence | | |
| | fidavits/declaration(s) | | Other Enclosure(s) (please Identify | |
| Extension | of Time Request | Terminal Disclaimer | Statement as provided by 37 CFR | |
| Express A | Abandonment Request | Request for Refund | 1.97(c), return post card. | |
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| | Missing Parts/ te Application | overpayment to Deposit | Account 23-0455 is hereby given. | |
| | eply to Missing Parts nder 37 CFR 1.52 or 1.53 | • | • | |
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| | SIGNATURE | OF APPLICANT, ATTO | DRNEY OR AGENT | |
| Firm Name | Warner-Lambert Company | | | |
| Signature | 700 | 7 / | | |
| | Suzanne M ? | Varvey | | |
| Printed name | Suzanne M. Harvey | 0 | _ | |
| Date | March 7, 2006 | | Reg. No. 42,640 | |
| | 11/2000 1,2000 | | | |
| | CERTIF | FICATE OF TRANSMIS | SION/MAILING | |
| sufficient postage | e as first dass mail in an envelope | csimile transmitted to the USP addressed to: Commissioner t | TO or deposited with the United States Postal Service with for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on | |
| the date shown to Signature | elow: Curene A - (| pulne | | |
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| Ap. | | Complete if Known |
| Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). | Application Number | 10/737,309 |
| MAR 0 9 1006 SFEE TRANSMITTAL | Filing Date | December 16, 2003 |

For FY 2006

Applicant claims small entity status. See 37 CFR 1.27

Makoto Kawai First Named Inventor **Examiner Name** Zinna Northington Davis Art Unit 1625

| TOTAL AMOUNT OF PAYM | IENT (\$ |) 180.00 | | Attorney Docke | t No. PC | 25538A | | | |
|---|--|--|------------|---------------------|-----------------|---|--|--|--|
| METHOD OF PAYMENT | (check al | I that apply) | | | | | | | |
| | Check Credit Card Money Order None Other (please identify): ✓ Deposit Account Deposit Account Number: 23-0455 Deposit Account Name: Warner-Lambert Company LLC | | | | | | | | |
| · · | For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) | | | | | | | | |
| Charge fee(s) | | | | | | | cept for the filing fee | | |
| | | e(s) or underpayme | ents of fe | e(s) Cred | it any overpay | yments | | | |
| under 37 CFR WARNING: Information on this Information and authorization | form may b | ecome public. Cred | it card in | formation should r | not be included | d on this form. P | rovide credit card | | |
| FEE CALCULATION (AI | I the fees | below are due | upon f | iling or may be | subject to | a surcharge. |) | | |
| BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES SEARCH FEES EXAMINATION FEES Small Entity Small Entity | | | | | | | | | |
| Application Type | Fee (\$) | Fee (\$) | Fee (| | Fee (\$) | Fee (\$) | Fees Paid (\$) | | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | | | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | | | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | | | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | | | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | | | |
| 2. EXCESS CLAIM FEE Fee Description Each claim over 20 (in Each independent claim Multiple dependent claim Total Claims - 20 or HP = HP = highest number of total | ncluding F m over 3 aims Extra Clair | (including Reiss ms Fee (\$) x 50.00 or, if greater than 20. | <u>Fe</u> | e Paid (\$) 0.00 | | Fee (\$) 50 200 360 Multiple De Fee (\$) 360.00 | Small Entity Fee (\$) 25 100 180 ependent Claims Fee Paid (\$) | | |
| Indep. Claims - 3 or HP = | Extra Clai | ms Fee (\$) x 200.00 | <u>Fe</u> | e Paid (\$) 0.00 | | | | | |
| HP = highest number of indep | | s paid for, if greater t | han 3. | | | | | | |
| 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets - 100 = /50 = (round up to a whole number) x Fee (\$) Fee Paid (\$) | | | | | | | | | |
| 4. OTHER FEE(S) Non-English Specific | ation, \$ | 130 fee (no sma | ll entity | discount) | | | Fees Paid (\$) | | |
| Other (e.g., late filing | surcharge | e): Submission | of Info | rmation Discl | sure State | ement | 180.00 | | |

| SUBMITTED BY | | | |
|-------------------|-------------------|--|--------------------------|
| Signature (| Suzanne M Zarvey | Registration No. (Attorney/Agent) 42,640 | Telephone (734) 622-2658 |
| Name (Print/Type) | Suzanne M. Harvey | | Date March 7, 2006 |

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Makoto Kawai, et al.

EXAMINER: Zinna Northington

Davis

SERIAL NO. : 10/737,309

ART UNIT

: 1625

FILED

: December 16, 2003

PAPER NO

FOR

: Cycloalkylene Amide Compounds as NR2B Receptor Antagonists

Statement as Provided by 37 CFR 1.97(c)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The Supplemental Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR §1.97(b) and before the mailing date of any final action under § 1.113, a notice of allowance under §1.311 and/or an action that otherwise closes prosecution in the application.

As such, the Applicants respectfully request consideration of the enclosed Supplemental Information Disclosure Statement and request that all references cited herein be printed on the face of the patent upon grant of the Application.

It is understood by the Applicants that this paper requires a fee as set forth in 37 CFR 1.17(p) for which authorization is given to charge any necessary fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Respectfully submitted,

Dated: March 7, 2006

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Suzanne M. Harvey

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2800 Plymouth Road Ann Arbor, MI 48105

Telephone: (734) 622-2658 Facsimile: (734) 622-1553

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| Application Number | 10/737,309 | | | | | |
| Filing Date | December 16, 2003 | | | | | |
| First Named Inventor | Makoto Kawai, et al | | | | | |
| Art Unit | 1625 | | | | | |
| Examiner Name | Zinna Northington Davis | | | | | |
| Attorney Docket Number | PC 25538A | $\overline{}$ | | | | |

| Examiner | Cite | Document Number | U. S. PATENT DO | Name of Patentee or | Pages, Columns, Lines, Where |
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| Initials* | Cite No.1 | | MM-DD-YYYY | Applicant of Cited Document | Relevant Passages or Relevant |
| | | Number-Kind Code ^{2 (# known)} | | | Figures Appear |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
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| | | WO03/076395 | 09-18-2003 | Kristof Van Emelen, et al | | |
| | | DE4437999 A1 (translation) | 05-02-1996 | Roland Maier, et al | | |
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| | | | | Application Number | 10/737,309 | |
| INFO | DRMATION | I DIS | CLOSURE | Filing Date | December 16, 2003 | |
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| | (Use as many sh | | | Art Unit | 1625 | _ |
| | (Ose as many sn | eels as n | ecessary) | Examiner Name | Zinna N. Davis | |
| Sheet | 2 | Of. | 2 | Attorney Docket Number | DC25529A | |

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| | | F FOUCHARD, et al., "Synthesis and Pharmacological Evaluation of (Indol-3-yl)alkylamides as Potent Analgesic Agents", Arzeim-Forsch/Drug Res. 2001, Vol. 51, No. 2, pp 814-824 | |
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